



Plan Document Generation & Management System

BOOST YOUR EFFICIENCY

Keep your documents organized and updated using our web-based Document Generation & Management System (DGEM)

Manage plan documents & 5500s on one screen

Keep track of document history and status

Checklist format enables fast & accurate document creation

One-click data validation

Clear navigation of plan provisions

CREATE VIRTUALLY ANY PLAN TYPE WITH DGEM

Pre-approved DC, DB, CB and 403(b) documents

Individually designed ESOP & 457(b) Governmental Documents

SAVE TIME & REDUCE ERRORS WITH INTEGRATED SYSTEMS

Integration with ASC's Valuation/Compliance, 5500's and CRM & Task Tracking Systems

Restate or modify all your plan documents in batch

Batch generate annual notices, pre-populated forms and interim amendments

Flexible document delivery options include: Print, Email, Publish directly to ASC's Plan Sponsor Web Portal

E-Signature features with auto-tracking via Adobe Sign®

One-click document delivery package - including customizable cover letters

Manage Plan Documents and 5500 Forms

Document Type	Plan Version	Status	Date	Hide Old	User
EGTRRA PS/401(k) VS AA	002 V2.	in process	10/08/2014	<input type="checkbox"/>	admin
EGTRRA PS/401(k) VS AA	002 V2.1 sub	in process	10/09/2014	<input type="checkbox"/>	admin
PPA HP V2 AA	003 V1.	in process	05/15/2016	<input type="checkbox"/>	Support
PPA PS/401(k) VS AA	002 V1.	in process	04/27/2016	<input type="checkbox"/>	Support
PPA PS/401(k) VS AA	002 V1.1 sub	in process	07/11/2016	<input type="checkbox"/>	Support
PPA PS/401(k) VS AA	002 V1.2 sub	in process	07/11/2016	<input type="checkbox"/>	Support

Customized Documents

Upload Delete

Create New Document

New Plan Doc New 5500 Form New PBGC Form

Edit PPA PS/401(k) VS AA - A1 Automotive

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General Information	SECTION 1 - EMPLOYER INFORMATION
1. Employer Information	AA 01-1 EMPLOYER INFORMATION
2. Plan Information	Name: A-1 Automotive
3. Eligible Employees	Address: 3423 Oak
4. Minimum Age and Service	City, State, Zip: STV AZ 85555
5. Compensation Definitions	Phone Number: 251-212-2222
6. Employer Contributions	AA 01-2 IDENTIFICATION NUMBER
6A. Salary Deferrals	EIN: 09-0000099
6B. Matching Contributions	AA 01-3 FORM OF BUSINESS
6C. Safe Harbor Contributions	<input type="radio"/> C-Corporation <input type="radio"/> S-Corporation
6D. Special Contributions	<input type="radio"/> Partnership/LLP <input type="radio"/> LLC
7. Retirement Ages	<input type="radio"/> Sole Proprietor <input type="radio"/> Tax-Exempt Entity
	<input type="radio"/> Other: _____

NOTE: An entity entered under "Other" must be a legal entity recognized under law. This plan may not be used for a governmental entity unless noted.

New Document

Client: A1 Automotive

Plan Number: 001

Plan Name: A1 Automotive 401(k) Plan

Document Type: PPA PS/401(k) VS AA

Pattern: PPA PS/401(k) VS AA

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Safe Harbor and Annual Notices Wizard

Annual Notices 401(k) Pre-approved: Automatic Deferral Notice 401(k)

Next

Annual Notices 403(b) Pre-approved: Universal Availability/415 Annual Notice

Next

Annual Notices 403(b) EGTRRA:

A LIST OF DOCUMENTS WE OFFER

For more information or to subscribe to ASC “Alerts” newsletters please visit us at www.asc-net.com or email us at info@asc-net.com.

PPA Defined Contribution Plans:

Prototype

- Profit Sharing/401(k) – Nonstandardized
- Profit Sharing only – Nonstandardized
- Money Purchase – Nonstandardized
- Profit Sharing/401(k) – Standardized

Volume Submitter (Adoption Agreement Format)

- Profit Sharing/401(k)
- Profit Sharing
- Owner’s Only 401(k)
- Money Purchase
- Governmental Profit Sharing/401(k)
- Governmental Money Purchase
- Profit Sharing/401(k) – Traditional Format with Appendix

Cycle 3 Defined Contribution Plans (coming in 2020/21)

- Profit Sharing/401(k) – Standardized
- Profit Sharing/401(k) – Nonstandardized
- Owners Only 401(k) – Nonstandardized
- Money Purchase Pension – Nonstandardized
- Governmental Profit Sharing/Grandfathered 401(k) – Nonstandardized
- Governmental Money Purchase – Nonstandardized
- ESOP/KSOP (Leveraged & Nonleveraged) – Nonstandardized **New!**
- Nonelecting Church Profit Sharing/401(k) – Nonstandardized **New!**
- Nonelecting Church Money Purchase Pension – Nonstandardized **New!**

PPA Defined Benefit & Cash Balance Plans:

- Defined Benefit Volume Submitter (Integrated & Non-integrated)
- Cash Balance Volume Submitter
- Defined Benefit Volume Submitter
- Governmental with Cash Balance provisions

Pre-Approved 403(b) Plans:

- 403(b) for 501(c)(3) organizations
- Salary Reduction Only 403(b)
- Governmental 403(b)
- Non-Electing 403(b) Church (without Retirement Income Accounts)
- Retirement Income Account Church 403(b)

Individually Designed Plans:

- Employee Stock Ownership Plan (ESOP & KSOP)
- 457(b) Governmental Plan
- 457(b) Tax Exempt Plan

ASC’s plan documents are drafted by ASC’s team of ERISA experts led by John Griffin, J.D., LL.M. who has over 35 years of industry experience



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